



SOCIALIST REPUBLIC OF VIETNAM

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No: 497 /TB-MB-HĐQT

Hanoi, 28th June, 2024

V/v: Disclosure about shareholders who owned 1% or above MB's charter capital.

Attention to: **Shareholders of Military Commercial Joint Stock Bank**

Military Commercial Joint Stock Bank (MB) would like to express our most respectful thanks and warmest gratitude to Shareholders for your trust and cooperation with MB throughout the past time for impressive and sustainable development of MB.

Pursuant to Clause 2 and Clause 3, Article 49 on Law on Credit Institutions 2024, effective from 01/07/2024 on providing and publicly disclosure, according to shareholders who owned 01% or above MB's charter capital of Credit Institutions (CI) must announce to CI information of shareholders and related persons of shareholders.

In order to support Shareholders in complying with the regulations, MB respectfully informs to Shareholders about disclosing of shareholders who owned 01% or above MB's charter capital from 01/07/2024. The details are as follows:

1. Information disclosure of the first time: Shareholders inform to MB within 07 working days from the date that Shareholders owned 01% or above MB's charter capital. Information disclosure includes:

a) First and last name; Personal Identification number (ID); Nationality, Passport number, Date of issue, Place of issue of foreign shareholders; Business Registration Certificate or other legal documents of the shareholder being as an organization; Date of issue and Place of issue of these documents;

b) The Information of related persons¹:

- For individuals: Full name; Personal Identification Number (ID); Nationality, Passport number, Date of issue, Place of issue for foreigners; the relationship with the informant;

- For the related person as an organization: Name, Business code, the Head office's address, Business Registration Certificate or other equivalent legal documents; Legal Representative, the relationship with informant;

c) The number and percentage of shares owned by shareholders at MB;

d) The number and percentage of shares owned by related persons of shareholders at MB.

(The declaration form according to attached of Appendix 1 and 2)

2. Disclosing if any information changes in some cases:

- Changing information at Points a and b, Section 1 of shareholders and related persons or
- If the share's ownership ratio of shareholders changes, the share's ownership ratio of shareholders and related persons is 01% or above MB's charter capital compared to the previous.

Time to announce MB: within 07 working days from the date of arising or changing information.

(The form is according to attached of Appendix 3)

3. Information Disclosure:

¹ The definition of related person based on Clause 24, Article 4 of the Law on Credit Institutions

- Sending paper documents to MB's headquarters address: MB's Board of Directors Office - No. 18 Le Van Luong, Trung Hoa Ward, Cau Giay District, Hanoi, Vietnam.

- Sending files (including scanned data from the signature and excel data) to email address: phongqlcd@mbbank.com.vn

If you have any questions, please feel free to contact: Shareholder Management Division - MB Board of Directors Office, the phone number is 024.6266.1088 and extensions are 3600, 3688, email phongqlcd@mbbank.com.vn

Sincerely thank you!

Recipients:

- Above;
- BOD for reporting;
- Archived: BOD Office

ON BEHALF OF THE BOD ✓
BOD MEMBER



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Information disclosure of shareholders who owned 1% or above MB's charter capital

Attention to: **Military Commercial Joint Stock Bank**

A. Shareholder's information (The Declarant)

- Name:
- Identification number/ID card/Passport.... Date of issue.... Place of issue....
- Number of shares owned at Military Commercial Joint Stock Bank dated on .../.../..... shares
- Share's ownership ratio at Military Commercial Joint Stock Bank dated on..../...../..... :(%)

B. Related person information¹ of shareholders:

No.	Shareholder's name as individual	Name of organization or individual who is a related person of the shareholder	Relationship with shareholder	Identification number/ID card/Passport/ Business Registration Certificate	Date of issue	Place of issue	Nationality	The Head office's address/ Contact address	The Legal Representative				Number of MBB shares of shareholders and related person	
									Name	Identification number/ID card/Passport	Date of issue	Place of issue	Number of shares	Share's ownership ratio /MB Charter capital(%)
1	Relatives of the declarant (<i>husband, wife; biological parents, adoptive parents, stepfather, stepmother, parents-in-law; biological children, adopted children, stepchildren of spouses, daughters-in-law, sons-in-law, siblings, half-brothers, half-sisters, brother-in-law, sister-in-law, brothers/sisters in law of one's siblings or half- brothers/sisters, paternal and maternal grandparents, paternal grandchild, maternal grandchild, uncle, aunt, nephew and niece</i>)													
		...	...	...	...	...	...	...						
2	A company or Credit Institution (CI) in which the declarant owns 5% or more of the charter capital or voting share capital of that company or CI													
				...		...						...	...	
3	Subsidiary companies where the declarant is a Manager, Supervisor, member of the Supervisory Board of the parent company or has the authority to appoint these people of the parent company													
				...		...						...	...	
4	The company or Credit Institution in which the declarant is the Manager, Supervisor, or member of the Supervisory Board of that company or Credit Institution.													
				...		...						...	...	

No.	Shareholder's name as individual	Name of organization or individual who is a related person of the shareholder	Relationship with shareholder	Identification number/ID card/Passport/ Business Registration Certificate	Date of issue	Place of issue	Nationality	The Head office's address/ Contact address	The Legal Representative				Number of MBB shares of shareholders and related person	
									Name	Identification number/ID card/Passport	Date of issue	Place of issue	Number of shares	Share's ownership ratio /MB Charter capital(%)
5	Companies where the relatives of the declarants (<i>husband, wife; biological parents, adoptive parents, stepfather, stepmother, parents-in-law; biological children, adopted children, stepchildren of spouses, daughters-in-law, sons-in-law, siblings, half-brothers, half-sisters, brother-in-law, sister-in-law, brothers/sisters in law of one's siblings or half- brothers/sisters (referred to as wife, husband, father, mother, child, brother, sister), paternal and maternal grandparents, paternal grandchild, maternal grandchild, uncle, aunt, nephew and niece</i>) is a Manager, Supervisor, member of Supervisory Board, a capital contributing member or shareholder's owner of 5% or above charter capital or voting share capital of that company.													
				...		...							...	...
6	The authorized Organizations and individuals represent the capital contribution of the declarant; The authorized Individuals represent capital contributions for organizations and individuals declared in sections 1,2,3,4,5; The individual and the declarant are authorized by the same organization to represent capital contributions at another organization													
				...		...							...	...

I hereby declare that all information provided above is true and accurate and I shall take full responsibility before the law for the disclosed information to Military Commercial Joint Stock Bank.

The Declarant
(Sign full name)

¹ **Related Person** is an organization or individual that has a direct or indirect relationship with another organization or individual in one of the following cases:

- a) The Parent company with subsidiary and vice versa; the Parent company with its subsidiary and vice versa; the Credit Institutions with subsidiaries of Credit Institutions and vice versa; The Credit Institution with a subsidiary of a credit institution's subsidiary and vice versa; The Subsidiaries of the same parent company or the same Credit Institution; The Subsidiaries of subsidiaries of the same parent company or of the same Credit Institution; The Managers, Supervisors, members of the Supervisory Board of the parent company or Credit Institution, the individuals or organizations with authority to appoint those people to the subsidiary and vice versa;
- b) The company or Credit Institution with the Managers, Supervisors, members of the Supervisory Board of that company or Credit Institution or with the company or organization that has the authority to appoint those people and vice versa
- c) A company or Credit Institution with an organization or individual who owned 05% or above charter capital or voting share capital in that company or Credit Institution and vice versa;
- d) Individuals with spouses; biological parents, adoptive parents, stepfather, stepmother, parents-in-law, biological children, adopted children, stepchildren of spouses, daughters-in-law, sons-in-law; siblings, half-brothers; brothers, sisters, half-brothers; half-sisters, brother-in-law, sister-in-law, brothers/sisters in law of one's siblings or half- brothers/sisters, paternal and maternal grandparents, paternal grandchild, maternal grandchild, uncle, aunt, nephew and niece)
- đ) The company or Credit Institution with the individual has a relationship as prescribed in Point d of this Clause with the Managers, Supervisors, members of the Supervisory Board, a capital contributing member or shareholder who owned from 05% or above charter capital or voting share capital of that company or Credit Institution and vice versa;
- e) The authorized individual represents the capital contribution to the organization or individual specified in Points a, b, c, d and đ of this Clause with the authorized organization or individual; the individuals authorized to represent capital contributions of the same organization;
- g) Other legal entities and individuals with potentially risky relationships for the operations of Credit Institutions and the foreign bank branches are determined according to the internal regulations of the Credit Institution and foreign bank branches or required by the State Bank through inspection and supervision activities;

Name of organization

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Information disclosure of shareholders as an organization who owned 1% or above MB's charter capital

Attention to: **Military Commercial Joint Stock Bank**

A. Shareholder's information (the Declarant as an organization)

- Name:
- Business Registration Certificate number.... Date of issue Place of issue
- Number of shares owned at Military Commercial Joint Stock Bank dated on .../.../..... Shares
- Share's ownership ratio at Military Commercial Joint Stock Bank dated on..../..../.... :(%)

B. Related person information¹ of shareholders:

N o.	Shareholder ’s name as an organization	Name of organization or individual who is a related person of the shareholder	Relationship with shareholder	Identification number/ID card/Passport / Business Registration Certificate	Date of issue	Place of issue	Nationality	The Head office’s address/ Contact address	The Legal Representative				Number of MBB shares of shareholders and related person	
									Name	Identification number/ID card/Passport	Date of issue	Place of issue	Number of shares	Share’s ownership ratio /MB Charter capital(%)
1	The company or Credit Institution (CI) is the parent company or subsidiary of the Declarant as an organization													
		...		...					...					
2	A company or Credit Institution that is a subsidiary of a subsidiary of the Declarant as an organization or a parent company of a parent company of the Declarant as an organization													
		...		...					...					
3	The company or credit institution has the same parent company as the Declarant as an organization or has the same parent company as the Declarant as an organization													
		...		...					...					
4	The Manager, Supervisor, or a member of the Supervisory Board of the parent company of Declarant as an organization or individuals or organizations with authority to appoint these people to the Declarant as an organization													
		...		...										
5	The Manager, Supervisor, or a member of the Supervisory Board of the Declarant as an organization or companies or organizations with authority to appoint such people to the Declarant as an organization													
		...		...										
6	The Organizations and individuals who owned 5% or above charter capital or voting share capital at the Declarant as an organization													
		...		...					...					
7	The Organizations in which the Declarant as an organization owned 5% or above the charter capital or voting share capital of that organization													
		...		...					...					

N o.	Shareholder 's name as an organization	Name of organization or individual who is a related person of the shareholder	Relationship with shareholder	Identification number/ID card/Passport / Business Registration Certificate	Date of issue	Place of issue	Nationality	The Head office's address/ Contact address	The Legal Representative				Number of MBB shares of shareholders and related person	
									Name	Identification number/ID card/Passport	Date of issue	Place of issue	Number of shares	Share's ownership ratio /MB Charter capital(%)
8	Individuals are relatives (<i>husband, wife; biological parents, adoptive parents, stepfather, stepmother, parents-in-law; biological children, adopted children, stepchildren of spouses, daughters-in-law, sons-in-law, siblings, half-brothers, half-sisters, brother-in-law, sister-in-law, brothers/sisters in law of one's siblings or half- brothers/sisters, paternal and maternal grandparents, paternal grandchild, maternal grandchild, uncle, aunt, nephew and niece</i>) of a Manager, Supervisor, or a member of the Supervisory Board, a capital contributing member or a shareholder owning 5% or above the charter capital or voting share capital of the Declarant as an organization													
		...		...					...					...
9	An individual who is a capital contribution representative of an Organization declares at another organization or is authorized to represent capital contribution by an organization or individual declaring in sections 1,2,3,4,5,6,7,8.													
		...		...					...					...
10	Other legal entities and individuals with potentially risky relationships for the operations of Credit Institutions and the foreign bank branches are determined according to the internal regulations of the Credit Institution and foreign bank branches or required by the State Bank through inspection and supervision activities (declaration in case the Declarant as an organization is a Credit Institution)													
		...		...					...					...

We hereby declare that all information provided above is true and accurate and shall take full responsibility before the law for the disclosed information to Military Commercial Joint Stock Bank.

Representative of the Declarant as an organization
(Sign full name & Sealed)

¹ **Related Person** is an organization or individual that has a direct or indirect relationship with another organization or individual in one of the following cases:

- a) The Parent company with subsidiary and vice versa; the Parent company with its subsidiary and vice versa; the Credit Institutions with subsidiaries of Credit Institutions and vice versa; The Credit Institution with a subsidiary of a credit institution's subsidiary and vice versa; The Subsidiaries of the same parent company or the same Credit Institution; The Subsidiaries of subsidiaries of the same parent company or of the same Credit Institution; The Managers, Supervisors, members of the Supervisory Board of the parent company or Credit Institution, the individuals or organizations with authority to appoint those people to the subsidiary and vice versa;
- b) The company or Credit Institution with the Managers, Supervisors, members of the Supervisory Board of that company or Credit Institution or with the company or organization that has the authority to appoint those people and vice versa
- c) A company or Credit Institution with an organization or individual who owned 05% or above charter capital or voting share capital in that company or Credit Institution and vice versa;
- d) Individuals with spouses; biological parents, adoptive parents, stepfather, stepmother, parents-in-law, biological children, adopted children, stepchildren of spouses, daughters-in-law, sons-in-law, siblings, half-brothers; brothers, sisters, half-brothers; half-sisters, brother-in-law, sister-in-law, brothers/sisters in law of one's siblings or half- brothers/sisters, paternal and maternal grandparents, paternal grandchild, maternal grandchild, uncle, aunt, nephew and niece)
- d) The company or Credit Institution with the individual has a relationship as prescribed in Point d of this Clause with the Managers, Supervisors, members of the Supervisory Board, a capital contributing member or shareholder who owned from 05% or above charter capital or voting share capital of that company or Credit Institution and vice versa;
- e) The authorized individual represents the capital contribution to the organization or individual specified in Points a, b, c, d and d of this Clause with the authorized organization or individual; the individuals authorized to represent capital contributions of the same organization;
- g) Other legal entities and individuals with potentially risky relationships for the operations of Credit Institutions and the foreign bank branches are determined according to the internal regulations of the Credit Institution and foreign bank branches or required by the State Bank through inspection and supervision activities;

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Disclosure on changing information of shareholders who owned 1% or above MB's charter capital
Attention to: Military Commercial Joint Stock Bank

A. Shareholder's information:

- Name:
- Identification number/ID card/Passport.... Date of issue.... Place of issue....
- Number of shares owned at Military Commercial Joint Stock Bank dated on .../.../..... shares
- Share's ownership ratio at Military Commercial Joint Stock Bank dated on.../.../.....:(%)

B. Changing information of shareholders or related persons of shareholders:

1. Changing shareholder's information:

Information provided						Information changes				
No.	Name	Identification number/ID card/Passport Date of issue Place of issue	Nationality	The Head office's address/ Contact address	Shareholders' ownership of MBB shares (number of shares, percentage)	Name	Identification number/ID card/Passport Date of issue Place of issue	Nationality	The Head office's address/ Contact address	Shareholders' ownership of MBB shares (number of shares, percentage)

2. Changing information about related persons of shareholders:

2. Changing information about related persons of shareholders.

Information provided									Information changes							
No.	Name of organization or individual who is a related person of the shareholder	Relationship with shareholder	Identification number/ID card/Passport/ Business Registration Certificate Date of issue Place of issue	Nationality	The Head office's address/ Contact address	The Legal Representative		Ownership of MBB's shares by shareholders and related persons (Number of shares, percentage %)	Name of organization or individual who is a related person of the shareholder	Relationship with shareholder	Identification number/ID card/Passport/ Business Registration Certificate Date of issue Place of issue	Nationality	The Head office's address/ Contact address	The Legal Representative		Ownership of MBB's shares by shareholders and related persons (Number of shares, percentage %)
						Name	Identification number/ID card/Passport Date of issue Place of issue							Name	Identification number/ID card/Passport Date of issue Place of issue	

Organization/Individual's declaration
(Sign full name & Sealed)